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Before the
FEDERAL COMMUNICATIONS COMMISSION
Washington, D.C. 20554

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APR 11 1996

In the Matter of

Implementation of Section 302
of the Telecommunications Act
of 1996
Open Video Systems

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)
) CS Docket No. 96-46
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REPLY COMMENTS OF THE UNITED STATES TELEPHONE ASSOCIATION

I. INTRODUCTION

USTA respectfully files reply comments in the above-captioned rulemaking regarding open video systems. On many issues, the commenters in this proceeding divide into two distinct groups: those that urge the Commission to adopt flexible, streamlined regulations implementing the open video systems provisions of the Telecommunications Act of 1996 (the "Telecom Act")^{1/} and those that urge the Commission to impose onerous regulations on open video system operators extending far beyond the specific obligations imposed on them under the Telecom Act.^{2/} In addition, other commenters focus on the issues raised by the imposition

^{1/} See, e.g., Comments of Viacom, Inc.; MFS Communications Co., Inc.; Alliance for Public Technology (APT); and Access 2000.

^{2/} See, e.g., Comments of the National Cable Television (NCTA), Cablevision Systems Corp. and the California Cable Television Association (Cablevision/CCTA), Continental Cablevision, Inc.; and Tele-Communications, Inc. (TCI).

of certain cable rules, such as public, educational, and governmental ("PEG") access and must-carry.^{3/}

USTA, its member local exchange carriers ("LECs"), many non-profit interests, and prospective programmers look to open video systems to emerge as a viable video programming delivery alternative. USTA opposes the comments of many cable operators, whose objective in this proceeding is not surprising: they seek to replicate the failed video dialtone experience for open video systems and the other competitive options.^{4/}

The Commission should reject cable's transparent arguments and heed the counsel of parties that want open video systems to succeed. If it were to mistakenly adopt the cable industry's proposals, the Commission would effectively eliminate open video systems as a viable video entry alternative. In doing so it would contravene the statute's explicit intent to make open video systems a viable way to compete. Cable operators want to follow the video dialtone path, hoping to duplicate their success in thwarting that limited form of multichannel video programming competition.^{5/} The Commission expended considerable resources on the

^{3/} See, e.g., Comments of the Association of America's Public Television Stations; Greater Metro Cable Consortium; Alliance for Community Media, *et al.*; Political Subdivisions of the State of Minnesota; City of Indianapolis Cable Communications Agency; Texas Cities; City of Seattle Department of Administrative Services; and City and County of Denver.

^{4/} Other parties recognize that there must be incentives for LECs to choose the open video system option, but then propose additional burdens that would render open video systems a nullity. See Comments of Alliance for Community Media at 3.

^{5/} See, e.g., Comments of NCTA at 17-25; Cablevision/CCTA at 25; Continental Cablevision at 7, 11. For example, NCTA proposes a ten-point "checklist" that recreates many of the most burdensome features of the video dialtone administrative processes. Comments of NCTA at 3.

failed video dialtone model, but was always severely constrained by the since-repealed statutory framework. The open video system framework is specifically intended to correct these shortcomings.

Many of the regulatory burdens sought by the cable industry and others such as MCI and the Alliance for Community Media et al. would effectively violate the intent of the Telecom Act, which precludes title II common carrier regulation of open video systems. The legislative history specifically provides:

The conferees do not intend that the Commission impose title II-like regulation under the authority of this section.

Rules and regulations adopted by the Commission pursuant to its jurisdiction under title II should not be merged with or added to the rules and regulations governing open video systems, which will be subject to new Section 653, not title II.^{6/}

The Commission clearly acknowledged this in the Notice.^{7/} Accordingly, it should adopt the least burdensome open video system regulations consistent with the statute.^{8/}

II. THE COMMENTS SUPPORT THE WISDOM OF STREAMLINED REGULATION OF OPEN VIDEO SYSTEMS

As USTA demonstrated in its initial comments, only streamlined regulation meets the legislative intent that open video systems create an attractive option for video entry.

^{6/} Conference Report at 178-79.

^{7/} Notice at para. 5.

^{8/} USTA also opposes the "petition for reconsideration" filed by NCTA seeking that the Commission terminate existing video dialtone authorizations. Comments of NCTA at 39-41. The continued operation of existing video dialtone systems should be left to the discretion of the operators.

In this regard, USTA endorses the open video system rules proposed by several LECs filing jointly in the initial round.^{9/}

A. Limited Regulation to Address Discrimination and Capacity Issues Is Most Consistent With the Statute

The record amply supports the Commission's proposed approach, which is to codify the statutory prohibition barring open video system operators from discriminating against unaffiliated programmers with regard to carriage on their systems. Opponents of open video systems raise a welter of unsupported charges of potential discrimination. They urge the Commission to adopt rules to address such hypothetical situations prospectively, but offer no suggestions as to how to write rules to address all eventualities.^{10/} Such a set of rules is impossible to formulate.

The dispute resolution mechanism is the best way to deal with claims of unreasonable discrimination. The 180-day dispute resolution process mandated by the Act, and backed up with the potential for damages or required carriage, will be an effective and nonburdensome check on any risk of discrimination.^{11/}

The Commission should not require disclosure of contracts between open video system operators and programmers. Indeed, it is instructive to note that the Commission has

^{9/} See Appendix to Joint Comments of Bell Atlantic, BellSouth, GTE, Lincoln Telephone, Pacific Bell, and SBC Communications (LEC Joint Comments).

^{10/} Comments of Cablevision/CCTA at pp. 7-10.; Time Warner Cable at 19-24; and TCI at 7-14.

^{11/} In this regard the open video system complaint process will have more teeth and arguably will be a more effective deterrent to discriminatory conduct than the Commission's program access rules, since complainants that prevail under those rules currently are not entitled to recover damages as a remedy. See 47 CFR § 76.1003.

declined to require disclosure of leased access cable-programmer contracts, a decision heartily supported by cable operators such as Time Warner that seek such disclosure in the open video systems context.^{12/}

The Commission should adopt its tentative conclusion that open video system operators be permitted to administer the allocation of channel capacity and channel sharing arrangements. A well-designed dispute resolution mechanism will be sufficient to protect against the risk of discrimination.^{13/} Proposals for detailed rules governing allocation of channel capacity and enrollment periods cannot foresee, and therefore would preclude, possible innovative network configurations and service arrangements. Such proposals attempt solely to make open video systems a burdensome and unattractive video entry alternative.

B. Regulation of Open Video Systems Should Be Limited to
the Federal Level

The Commission should reject proposals that would give states or other jurisdictions a role overseeing open video systems.^{14/} Such a role is not contemplated in the statute. Oversight by multiple jurisdictions would place so many burdens on open video systems that no provider would ever select them. Because open video systems are an entry

^{12/} See Implementation of Sections of the Cable Television Consumer Protection and Competition Act of 1992: Rate Regulation, Leased Commercial Access, MM Docket 92-266, CS Docket 96-60, Order on Reconsideration, FCC 96-122 (rel. Mar. 29, 1996) at paras. 58-60. There, for example, Time Warner opposed disclosure of such contracts, while in this proceeding, it advocates disclosure for others.

^{13/} See, e.g., Comments of APT at 7; Viacom at 8; Access 2000 at 6-7; LEC Joint Comments at 32-33.

^{14/} See, e.g., Comments of New Jersey Division of Ratepayer Advocate at 6; City and County of Denver at 8; Texas Cities at 10; City of Seattle Department of Administrative Services at 1.

option, local oversight would rob potential video entrants of the primary incentive for operating an open video system -- a significant reduction in regulation.

Likewise, the Commission should reject the efforts of the local municipalities to convert their control over public rights-of-way and the Telecom Act's PEG access requirement into a de facto franchise process. While the Telecom Act gives local governments the right to assess charges on open video system operators in lieu of franchise fees, it explicitly exempts open video system providers from franchise regulation.^{15/} It certainly does not allow municipalities to require that providers obtain additional right-of-way authority as a part of the statutory certification process. Consistent with the statutory scheme, open video system operators are required to provide PEG channels in a manner "no greater or lesser" than the obligations of cable operators. However, nothing in the Telecom Act requires open video system providers to duplicate existing PEG facilities or negotiate the conditions of PEG access with local authorities and incumbent cable operators as a condition for certification. Rather, open video system providers should be given the flexibility to create and deploy new and innovative approaches to providing access to PEG programming to local communities.

C. Market Forces Will Ensure Reasonable Open Video System Rates

MCI argues that since open video system providers will not face competitive markets at a "wholesale level," there is a need for Commission oversight of open video system charges to prevent discriminatory conduct. Similarly, NCTA contends that open video system operators will essentially be controlling a "bottleneck facility," justifying regulation of open

^{15/} Telecom Act, Section 653(c)(1).

video system rates in a manner in which common carrier services are regulated.^{16/} These arguments should be rejected as inapposite to both the letter and spirit of Section 653.

First, the "bottleneck" argument ignores the fact that an open video system operator's entry into the market will relieve the monopoly wireline cable provider from any and all rate regulation and that the new entrant will be competing against a variety of alternative video delivery systems, including DBS and MMDS. Therefore, it makes no sense to subject open video system operations to the type of overbearing rate regulation typically reserved for monopoly service providers.

Second, rates charged to open video system programmers will be effectively constrained by the general level of retail rates charged to subscribers within the local cable market and the desire to make efficient use of capacity in open video systems. All programmers, regardless of their business relationship with the open video system operator (i.e., affiliation), must be able to afford the cost of transport on the system if they are to offer competitive rates for their retail services. Thus, open video system operators will face adequate market place incentives to price access to their systems at reasonable rates. Doing so will ensure that the open video system as a whole is a viable competitive alternative to cable. In addition, open video system operators will naturally seek to have their systems fully utilized and will therefore avoid establishing transport prices that would result in idle capacity.

Finally, under the Telecom Act, the Commission cannot impose Title II or Title II-like rate regulations on open video systems. Thus, the Commission has no authority to mandate the filing of rate and cost information as a condition to open video system

^{16/} Comments of MCI at 4-5, NCTA at 18-20.

certification or the submission of tariffs for open video systems. Instead, the Commission need only codify rules which prevent open video system providers from applying unreasonably discriminatory terms and conditions and rely on the complaint process to review claims of discriminatory treatment in the application of charges.

Some parties suggest that the Commission mandate preferential rates for certain types of programming on open video systems.^{17/} The Commission should not require preferential rates for such customers, but should state that open video system operators are presumed not to be violating its antidiscrimination prohibitions if they offer such classes of preferential rates voluntarily.

III. THE ONEROUS ADDITIONAL REGULATORY BURDENS SOUGHT BY THE CABLE INDUSTRY WOULD THWART THE GOALS OF THE TELECOM ACT

A. There Is No Need and No Basis for Separate Subsidiary or Joint Marketing Restrictions

Congress clearly intended to allow providers to operate open video systems without separate subsidiary or joint marketing restrictions. Opponents of video competition disregard this intent in advocating a separate subsidiary requirement.^{18/} The Telecom Act is quite precise when establishing various types of separate affiliate requirements, which it

^{17/} See, e.g., Comments of Association of America's Public Television Stations at 2; Alliance for Community Media at 20.

^{18/} See, e.g., Comments of NCTA at 27; Time Warner Cable at 10; TCI at 15; and Continental Cablevision at 11.

includes in Title II.^{19/} Because, as already noted, the conferees did not intend the Commission to impose Title II-type regulation on open video systems, separate subsidiary requirements are not permitted. The Commission, of course, did not propose such requirements in the Notice.^{20/} With respect to any Bell operating companies ("BOCs") that may choose to be open video system operators, the interLATA transmissions associated with the video programming services they would offer are "incidental interLATA services" under Section 271(g)(1)(A). As such, these transmissions would not be subject to the Telecom Act's separate affiliate requirements.

Moreover, there is no need to bar open video service operators from offering telephone, video, and other services at a single package price, as some argue.^{21/} Because one-stop shopping is a major convenience to consumers, it is one direction in which communications markets are heading.

B. Cost Allocation Issues Should Not Be Allowed to Delay Implementation of Open Video Systems

The Commission should reject suggestions that cost allocation issues related to the delivery of open video systems and telephone services by LECs be resolved before LECs may offer open video systems.^{22/} These requests are transparent attempts to forestall

^{19/} See, e.g., Telecom Act, Sections 272, 274.

^{20/} LECs in particular are already subject to comprehensive regulation designed, among other things, to protect ratepayers and competitors.

^{21/} See Comments of AT&T.

^{22/} See Comments of NCTA at 22, MCI at 7; Cablevision/CCTA at 31; and Time Warner Cable at 13.

competition. Such arguments were at the center of the video dialtone proceeding, which Congress repudiated by terminating.^{23/} To require cost allocation procedures as part of the certification process is not consistent with the streamlined process mandated by the Telecom Act. The delay that is certain from such procedure would serve only to hinder competition in the video marketplace.

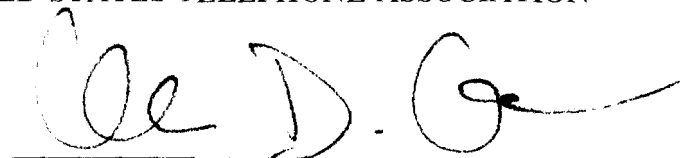
IV. CONCLUSION

As discussed in its initial comments, USTA respectfully urges the Commission to grant open video system operators a high degree of flexibility in designing and operating open video systems. Despite the claims of cable interests that seek to handcuff competition from open video system providers, the Commission should adopt highly streamlined regulations governing open video systems, consistent with USTA's proposals.

Respectfully submitted,

UNITED STATES TELEPHONE ASSOCIATION

BY:



Mary McDermott
Linda Kent
Charles D. Cosson

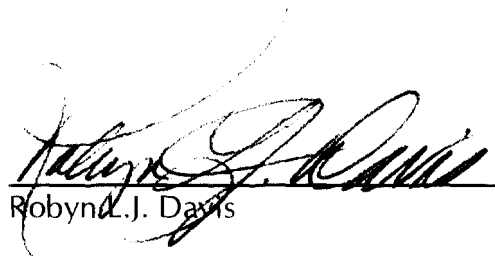
1401 H Street, N.W.
Suite 600
Washington, DC 20005
(202) 326-7247

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^{23/} Similarly, the General Services Administration (GSA) and MCI seek the Commission to recreate the regulatory straitjacket applied to video dialtone through cost allocation proposals. Comments of GSA at 5, MCI at 6-8.

CERTIFICATE OF SERVICE

I, Robyn L.J. Davis, do certify that on April 11, 1996 reply comments of the United States Telephone Association were either hand-delivered, or deposited in the U.S. Mail, first-class, postage prepaid to the persons on the attached service list.


Robyn L.J. Davis

Nicholas P. Miller
Tillman L. Lay
Frederick E. Ellrod III
Miller, Canfield, Paddock and Stone
1225 19th Street, NW - Suite 400
Washington, DC 20036

Rick Maultra
City of Indianapolis - Cable Comms. Agency
200 East Washington Street
City-County Building - Room G-19
Indianapolis, IN 46204

Robert Lemle
Charles Forma
Marti Green
Cablevision Systems Corp.
One Media Crossways
Woodbury, NY 11797

Peter Arth, Jr.
Edward W. O'Neill
Mary Mack Adu
People of the State of Calif. & the PUC of Calif.
505 Van Ness Avenue
San Francisco, CA 94102

Thomas W. Cohen
Davison, Cohen & Co.
1701 K Street, NW
Suite 800
Washington, DC 20006

Howard J. Symons
James J. Valentino
Fernando R. Laguarda
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, PC
701 Pennsylvania Avenue, NW - Suite 900
Washington, DC 20004

Michael J. Ettner
GSA
Office of General Counsel
Washington, DC 20405

Mayor Robert G. Frie
City of Arvada
P.O. Box 8101
8101 Ralston Road
Arvada, CO 80001

Donna E. Lampert
James J. Valentino
Charon H. Harris
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, PC
701 Pennsylvania Avenue, NW - Suite 900
Washington, DC 20004

Alan J. Gardner
Jerry Yanowitz
Jeffrey Sinsheimer
California Cable Television Assn.
4341 Piedmont Avenue
Oakland, CA 94611

David Cosson
L. Marie Guillory
National Telephone Cooperative Assn.
2626 Pennsylvania Avenue, NW
Washington, DC 20037

William B. Barfield
Michael J. Schwarz
BellSouth
1155 Peachtree Street, NE
Suite 1800
Washington, DC 30309

Mary Gardiner Jones
Henry Geller
Alliance for Public Technology
901 15th Street, NW
Suite 230
Washington, DC 20005

Jeffrey L. Sheldon
Sean A. Stokes
UTC
1140 Connecticut Avenue, NW
Suite 1140
Washington, DC 20036

John A. Levin
John F. Povilaitis
Pennsylvania PUC
G-31 North Office Building
Commonwealth and North Streets - P.O. Box 3265
Harrisburg, PA 17105

Thomas J. Ostertag
Office of the Commissioner of Baseball
350 Park Avenue
17th Avenue
New York, NY 10022

Philip R. Hochberg
Verner, Liipfert, Bernhard, McPherson & Hand,
Chartered
901 15th Street, NW - Suite 700
Washington, DC 20005

Blossom A. Peretz
New Jersey Department of the Treasury
Division of the Ratepayer Advocate
31 Clinton Street - 11th Floor
P.O. Box 46005
Newark, NJ 07101

Gigi B. Sohn
Andrew Jay Schwartzman
Media Access Project
2000 M Street NW
Washington, DC 20036

John Podesta
Georgetown University Law Center
600 New Jersey Avenue, NW
Washington, DC 20001

Walter S. de la Cruz
Gary S. Lutzker
Department of Information Technology and
Telecommunications
11 Metrotech Center - Third Floor
Brooklyn, NY 11201

Robert Alan Garrett
Jonathan M. Frenkel
Arnold & Porter
555 12th Street, NW
Washington, DC 20004

Maureen O. Helmer
John L. Grow
NYDPS
Three Empire State Plaza
Albany, NY 12223

Matthew Lampe
City of Seattle Department of Administrative
Services
618 Second Avenue
12th Floor Alaska Building
Seattle, WA 92104

James J. Popham
Association of Local Television Stations, Inc.
1320 19th Street, NW
Suite 300
Washington, DC 20036

Jeffrey Hops
Alliance of Community Media
666 11th Street, NW
Suite 806
Washington, DC 20001

James N. Horwood
Spiegel & McDiarmid
1340 New York Avenue, NW
Washington, DC 20005

Janis D. Everhart
Scott Carlson
City of Dallas
1500 Marilla - Room 7/D/N
Dallas, TX 75201

Quincy Rodgers
General Instrument Corporation
Two Lafayette Centre
1133 21st Street, NW - Suite 405
Washington, DC 20036

James E. Meyers
1555 Connecticut Avenue, NW
Suite 500
Washington, DC 20036

Hiawatha Davis, Jr.
City and County of Denver
City and County Building
Denver, CO 80202

Samuel A. Simon
Access 2000
901 15th Street, NW
Suite 230
Washington, DC 20005

Peter Tannenwald
Elizabeth A. Sims
Irwin, Campbell & Tannenwald, PC
1730 Rhode Island Avenue, NW
Suite 200
Washington, DC 20036

Michael H. Hammer
Francis M. Buono
Willkie Farr & Gallagher
Three Lafayette Centre
1155 21st Street, NW - Suite 600
Washington, DC 20036

Mark C. Rosenblum
Ava B. Kleinman
Seth S. Gross
AT&T
295 North Maple Avenue - Room 3245F3
Basking Ridge, NJ 07920

Thomas D. Creighton, #1980X
Robert V. Vose, #251872
Bernick and Lifson, PA
Suite 1200 The Colonnade
5500 Wayzata Boulevard
Minneapolis, MN 55416

Robert B. Jacobi
Stanley S. Neustadt
Cohn and Marks
(Golden Orange Broadcasting Co., Inc.)
1333 New Hampshire Avenue, NW - Suite 600
Washington, DC 20036

Stephen A. Hildebrandt
Group W. Satellite Communications
1025 Connecticut Avenue, NW
Suite 506
Washington, DC 20036

Harvey Kahn
Access 2000
2656 29th Street
Santa Monica, CA 90405

Andrew D. Lipman
Jean L. Kiddoo
Karen M. Eisenhauer
Swidler & Berlin, Chtd.
3000 K Street, NW - Suite 300
Washington, DC 20007

Lawrence Fenster
MCI
1801 Pennsylvania Avenue, NW
Washington, DC 20006

Sondra J. Tomlinson
U S WEST
1020 19th Street, NW
Suite 700
Washington, DC 20036

Donald C. Rowe
Robert Lewis
NYNEX
1111 Westchester Avenue
White Plains, NY 10604

Leslie A. Vial
Bell Atlantic
1320 North Court House Road
Eighth Floor
Arlington, VA 22201

Herschel L. Abbott, Jr.
Michael A. Tanner
BellSouth
675 West Peachtree Street, NE
Suite 4300
Atlanta, GA 30375

John F. Raposa, HQE03J27
GTE
P.O. Box 152092
Irving, TX 75015

Robert A. Mazer
Albert Shuldiner
Vinson & Elkins (Lincoln Tel.)
1455 Pennsylvania Avenue, NW
Washington, DC 20004

Lucille M. Mates
Christopher L. Rasmussen
Sara Rubenstein
Pacific Bell
140 New Montgomery Street - Room 1522A
San Francisco, CA 94105

James D. Ellis
Robert M. Lynch
David F. Brown
SBC
175 E. Houston - Room 1254
San Antonio, TX 78205

Mary W. Marks
SBC
One Bell Center
Room 3558
St. Louis, MO 63101

Daniel L. Brenner
Neal M. Goldberg
David L. Nicoll
NCTA
1724 Massachusetts Avenue, NW
Washington, DC 20036

Michael Hammer
Michael G. Jones
Thomas Jones
Willkie Farr & Gallagher
Three Lafayette Centre - 1155 21st Street, NW
Washington, DC 20036

Howard J. Symons
Fernando R. Laguarda
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo PC
701 Pennsylvania Avenue, NW - Suite 900
Washington, DC 20004

Robert J. Sachs
Margaret A. Sofio
Continental Cablevision, Inc.
Lewis Sharf, Pilot House
Boston, MA 02110

Frank W. Lloyd
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo PC
701 Pennsylvania Avenue, NW - Suite 900
Washington, DC 20004

Brenda L. Fox
Continental Cablevision, Inc.
1320 19th Street, NW
Suite 201
Washington, DC 20036

Peter H. Feinberg
Laura H. Philips
Steven F. Morris
Dow, Lohnes & Albertson (Cox Comm.)
1200 New Hampshire Avenue, NW - Suite 800
Washington, DC 20036

John D. Seiver
T. Scott Thompson
Cole, Raywid & Braverman, LLP
1919 Pennsylvania Avenue, NW
Suite 200
Washington, DC 20006

Stephen A. Hildebrandt
CBS, Inc.
1025 Connecticut Avenue, NW
Washington, DC 20036

Michael S. Schooler
Steven F. Morris
Dow, Lohnes & Albertson (Comcast, Adelphi, etc.)
1200 New Hampshire Avenue, NW - Suite 800
Washington, DC 20036

Paul Rodgers
Charles D. Gray
James Bradford Ramsay
NARUC
1102 ICC Building - P.O. Box 684
Washington, DC 20044

Fritz E. Attaway
Motion Picture Association of America, Inc.
1600 Eye Street, NW
Washington, DC 20006

Gary Shapiro
Consumer Electronics Manufacturers Assn.
2500 Wilson Boulevard
Arlington, VA 22201

Sam Antar
Roger C. Goodspeed
Capital Cities/ABC, Inc.
77 West 66th Street
New York, NY 10023

Mark W. Johnson
CBS, Inc.
1634 Eye Street, NW
Suite 1000
Washington, DC 20006

Marilyn Mohrman-Gillis
Lonna M. Thompson
Association of America's Public Television Stations
1350 Connecticut Avenue, NW
Washington, DC 20036

Jot D. Carpenter, Jr.
Telecommunications Industry Assn.
1201 Pennsylvania Avenue, NW
Suite 315
Washington, DC 20044

Henry L. Baumann
Jack N. Goodman
Terry L. Etter
NAB
1771 N Street, NW
Washington, DC 20036

Charles S. Walsh
Seth A. Davidson
Fleischman and Walsh, LLP
1400 16th Street, NW
Suite 600
Washington, DC 20036

John V. Roach
Ronald L. Parrish
Tandy Corp.
1800 One Tandy Center
Fort Worth, TX 76102

John W. Pettit
Richard J. Arsenault
Drinker Biddle & Reath
901 15th Street, NW
Suite 900
Washington, DC 20005

Joseph P. Markoski
Squire, Sanders & Dempsey
1201 Pennsylvania Avenue, NW
P.O. Box 407
Washington, DC 20044

Stephen R. Effros
James H. Ewalt
Cable Telecommunications Assn.
3950 Chain Bridge Road
P.O. Box 1005
Fairfax, VA 22030

Mayor Peter J. Angstadt
Office of the Mayor
911 North 7th Avenue
P.O. Box 4169
Pocatello, ID 83205

ITS
2100 M Street, NW
Suite 140
Washington, DC 20036

Richard L. Sharp
W. Stephen Cannon
Circuit City Stores, Inc.
9950 Maryland Drive
Richmond, VA 23233

Dennis L. Myers
Ameritech Mobile Services, Inc.
2000 West Ameritech Center Drive
Location 3H78
Hoffman Estates, IL 60195

Lawrence W. Secrest, III
Peter D. Ross
Rosemary C. Harold
Wiley, Riley & Fielding
1776 K Street, NW
Washington, DC 20006

Mario E. Goderich
Metropolitan Dade County
140 West Flagler Street - Room 901
Miami, FL 33130